



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Michael C. Chen et al.                      Art Unit : 1645  
Serial No. : 10/702,305                                      Examiner :  
Filed : November 6, 2003  
Title : COMPOSITIONS AND METHODS FOR TREATING OR PREVENTING  
PNEUMOCOCCAL INFECTION

**Mail Stop Missing Parts**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Prior to examination, please amend the application as indicated on the following pages.

Amendments to the specification begin at page 2.

Remarks/Arguments begin at page 3.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

May 20, 2004  
Date of Deposit

Carol Tyrrell  
Signature

Carol Tyrrell  
Typed or Printed Name of Person Signing Certificate